

02/16/01  
1048 U.S. PTO

02-20-01

A

Attorney Docket No.: 98023-184

**IN THE UNITED STATE PATENT AND TRADEMARK OFFICE**

Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated below, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on	
Date: <u>Feb. 16, 2001</u>	Express Mail No. <u>EL396121482US</u>
Signature: <u>[Signature]</u> Chris Kolefas, Reg. No. 35,226	

**TRANSMITTAL OF UTILITY PATENT APPLICATION**

Transmitted herewith for filing is a utility patent application for:

**BROKERAGE SYSTEM AND METHOD**

Enclosed are:

- ☒ Specification: 16 pages total, including 2 pages claims and 1 page Abstract
- ☒ Drawings: 1 sheet
- ☐ Unexecuted Declaration And Power Of Attorney
- ☐ Filing Fee, as calculated below

09/785076  
10/16/01  
JC929 U.S. PTO

CLAIMS AS FILED					
	Number Allowed	Number Filed	Number Extra	Extra Fee	
Total Claims	20	6		X \$18.00	= \$0
Independent Claims	3	1		X \$80.00	= \$0
First presentation of multiple dependent claims				+ \$260.00	=
Basic Filing Fee					\$710.00
Assignment Recordation Fee					
Total Fee for this Application					\$710.00

Respectfully Submitted,

Dated: Feb. 16, 2001

By: [Signature]  
Chris Kolefas, Reg. No. 35,226  
Kramer Levin Naftalis & Frankel LLP  
919 Third Avenue  
New York, New York 10022  
Telephone: (212) 715-9412  
Fax: (212) 715-8000